State School Facility Programs Overview

State of California

Gray Davis, Governor

State and Consumer Services Agency

Aileen Adams, Secretary

Department of General Services

Clothilde V. Hewlett, Interim Director Deborah Hysen, Acting Chief Deputy Director Jacqueline Wilson, Deputy Director

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State Allocation Board

The State Allocation Board (SAB) is responsible for allocating State resources used for the construction, modernization and maintenance of local school facilities.

State Allocation Board Members

Steve Peace Jack O'Connell

Director, Department of Finance State Superintendent of Public Instruction

Clothilde Hewlett David Sickler

Interim Director, Dept of General Services Governor's Appointee

Senate Members Assembly Members

Dede AlpertJohn DutraThirty-Ninth DistrictTwentieth District

Bob MargettMarco FirebaughTwenty-Ninth DistrictFiftieth District

Tom TorlaksonTony StricklandSeventh DistrictThirty-Seventh District

State Allocation Board Executive Officers

Luisa M. ParkBruce B. HancockExecutive OfficerAssistant Executive Officer

Karen McGagin

Deputy Executive Officer

School Facility Program

Funding

Funds for this program may be from any funding source made available to the State Allocation Board. This includes proceeds from the sale of State General Obligation Bonds and the State General Fund. In addition, districts are required to provide a portion of the cost of a project from funds available to the school district. This may include, among other sources, local general obligation bonds, developer fees, general fund, etc.

The New Construction Grant

The New Construction Program provides State funds on a 50/50 State and local sharing basis for public school capital facility projects in accordance with statute. Eligibility for State funding is based on a district's need to house pupils and is determined by criteria set in law.

Education Code Section 17072.10 establishes the "new construction grant" per unhoused pupil for new construction projects. The State Allocation Board approved the annual adjustment to the grant on January 22, 2003. The adjusted grants are as follows:

- ▶ \$5,840 for each elementary school pupil.
- ▶ \$6,177 for each middle school pupil (include grade 6, if part of a 6-8 grade school).
- ▶ \$8,086 for each high school pupil.
- \$12,449 for each pupil that is a Non-Severely Disabled Individual with Exceptional Needs
- \$18,614 for each pupil that is a Severely Disabled Individual with Exceptional Needs

This "new construction grant" amount is intended to provide the State's share for all necessary project costs with the exception of site acquisition, utilities, off-site and service-site development. The necessary project costs include, but are not limited to, funding for design, the construction of the building, general-site development, education technology, unconventional energy, tests, inspections and furniture/equipment. The amounts shown are adjusted annually based on the change in the Class B Construction Cost Index as approved by the SAB each January.

New Construction Project Application Forms

- ▶ SAB 50-01, Enrollment Certification Projection
- ▶ SAB 50-02, Existing School Building Capacity
- ▶ SAB 50-03, Eligibility Determination
- ▶ SAB 50-04, Application for Funding

The Modernization Grant

The Modernization Program provides State funds on a 6o/4o basis for improvements to educationally enhance school facilities. Projects eligible under this Program include such modifications as air conditioning, plumbing, lighting, and electrical systems. Site acquisition may not be included in modernization applications.

Education Code Section 17074.10 establishes the "modernization grant" for each pupil to be housed in buildings to be modernized. The State Allocation Board approved the annual adjustment to the grant on January 22, 2003. The adjusted grants are as follows:

- ▶ \$2,523 for each elementary school pupil.
- ▶ \$2,669 for each middle school pupil.
- ▶ \$3,494 for each high school pupil.
- \$5,378 for each pupil that is a Non-Severely Disabled Individual with Exceptional Needs
- \$8,038 for each pupil that is a Severely Disabled Individual with Exceptional Needs

The "modernization grant" amount is intended to provide the State's share for all necessary project costs. The necessary project costs include, but are not limited to, funding for design, the modernization of the building, education technology, unconventional energy, tests, inspections and furniture/equipment. The amounts shown are adjusted annually based on the change in the Class B Construction Cost Index as approved by the SAB each January.

Modernization Project Application Forms

- ▶ SAB 50-03, Eligibility Determination
- ▶ SAB 50-04, Application for Funding

School Facility Program...

Charter Schools

This pilot program is intended to provide a charter school with funding to construct new facilities. To qualify for funding a charter must be deemed financially sound by the California School Finance Authority and meet the eligibility criteria outlined in law. The criteria includes School Facility Program new construction eligibility to support the project. A charter or school district filing on behalf of a charter under this program may receive a reservation of funding, by submitting a preliminary application, prior to receiving the necessary approvals from other State entities. Once those approvals are received the preliminary apportionment may be converted to a final apportionment and the funds previously set aside by the State Allocation Board may be released. Preliminary applications will be accepted through April 1, 2003; \$100 million is available for apportionment.

Charter Schools Project Application Forms

- ▶ SAB 50-09, Application for Charter School Preliminary Apportionment
- ► SAB 50-01, Enrollment Certification/Projection

Critically Overcrowded Schools

This program allows school districts with qualifying critically over-crowded school facilities to apply for a preliminary apportionment for new construction projects to relieve overcrowding. The preliminary apportionment serves as a reservation of funds and must be converted within a four-year period to a final apportionment that meets all the SFP New Construction program laws and regulations required for such an apportionment.

A school district must have both SFP new construction eligibility and one or more schools on the California Department of Education's (CDE) COS School Source List. In order to have a school qualify for inclusion on the CDE Source School List, the school site must have a pupil density greater than 115 pupils per acre for K—6 and 90 pupils per acre for 7—12.

Applications for a COS preliminary apportionment will be accepted through May 1, 2003; \$1.7 billion is available for apportionment.

If the requests for preliminary apportionments exceeds the funds available, projects will be ranked by the highest density levels relative to the CDE standard and funded from the highest to the lowest density.

Critically Overcrowded Schools Project Application Form

► SAB 50-08, Application for Preliminary Apportionment

Education Facilities Bond Breakdown 2002

Program	Bond 2002: \$13,050,000,000	
New Construction	\$ 3,450,000,0001	1\$100 million — charter schools; and
Modernization	\$ 1,400,000,000 ²	\$14.2 million — energy efficiency
New Construction Backlog	\$ 2,900,000,000	² \$5.8 million — energy efficiency
Modernization Backlog	\$ 1,900,000,000	
Critically Overcrowded Schools (COS)	\$ 1,700,000,000	
Joint Use	\$ 50,000,000	
Total K–12	\$11,400,000,000	

School Facility Program...

Joint Use Projects

Under the School Facility Program a method to fund certain types of Joint Use Projects has been implemented. There are three types of Joint Use projects, each type includes specific project eligibility.

- A Type I must be part of an SFP new construction project and be a collaborative effort with a higher education partner to improve pupil academic achievement, provide teacher education, or provide childcare facilities.
- A Type II must be part of an SFP new construction project that will increase the size or create extra costs beyond that necessary for school use of the multipurpose room, gymnasium, library, or childcare facility.
- ▶ A Type III is a Joint-Use project that is either a collaborative effort with higher education to improve academic achievement or provide teacher education, or is a multipurpose room, gymnasium, library or childcare facility on a school site that does not have an adequate facility of that type.

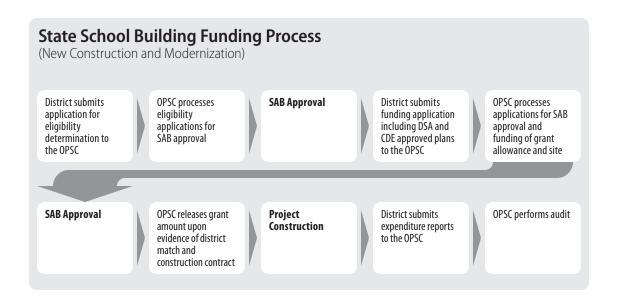
For a Type I or Type III project that improves pupil academic achievement or provides teacher education, the Joint-Use partner must be an institution of higher education. For a Type II or Type III project that is a multi-purpose room, gymnasium, library or childcare facility, the Joint-Use partner must be a governmental agency, an institution of higher education or a nonprofit organization.

The Joint-Use partner must match fifty percent of the eligible project costs. Anything beyond the eligible project costs are the responsibility of the Joint-Use partner and/or the school district.

OPSC will be accepting applications through May 31, 2003 for apportionment at the State Allocation Board meeting scheduled for July 23, 2003; \$50 million is available. The State's contribution toward the project is 50 percent, not to exceed the maximum contribution of \$1 million for an elementary school, \$1.5 million for a middle school, and \$2 million for a high school.

Joint Use Project Application Form

▶ SAB 50-07, Application for Joint Use Funding



School Facility Program Construction Process

The process of constructing or modernizing a school building originates with and is the responsibility of the individual school district. The school district determines the type and size of the school building utilizing criteria set forth from the California Department of Education (CDE). The size is also determined by the number of students to be housed in the facility and consideration of health and safety issues designated by the appropriate state agencies. The school district should encourage and incorporate participation from the local community for input into the site location and design features. The school district usually utilizes community information workshops to generate community input and support. Dedication by the district and support from the community are as important as the site selection approval and acquisition process that may take one or more years.

In the meantime, the school district should have passed a local bond or secured alternative funding for its share of the project. Without this funding, the school district cannot meet the 50 percent funding requirement for new construction project or the 40 percent funding requirement for modernization projects.

A district may submit an application to the Office of Public School Construction (OPSC) for eligibility determination prior to commencing the project design. The OPSC will make every effort to process the eligibility application for State Allocation Board (SAB) approval within

90—120 days. The district may proceed with the hiring of an architect for the development of plans and specifications for the school. Once the plans and specifications are completed by the architect, they are forwarded to the Division of the State Architect (DSA) for processing. In order for the district to request project funding, the district is required to verify that they have their 50/40 percent share of the project cost, stamped DSA plans, and approval of the site and plans by the CDE. In the event the district is unable to share in the cost of the project, the district can pursue financial assistance through the Financial Hardship provisions. Once the completed funding application is received, the OPSC will make every effort to process the application within 90—120 days and will present it to the SAB for an apportionment.

With all approvals and funding in place, the actual construction time on an average school of 2,000 students, takes approximately two years. Total design development and construction time from concept to occupancy is between 2 to 4 years. However, portable school construction projects can be completed within 9 to 15 months from concept to occupancy.

Other Programs Administered by the SAB

State Relocatable Classroom Program

The State Relocatable Classroom Program is designed to meet classroom needs for those districts impacted by excessive growth or unforeseen classroom emergencies. The State Allocation Board allocates funds for the acquisition, installation and relocation of safe relocatable classroom facilities. The classrooms are leased to the school districts for up to \$4,000 per year on a year-to-year basis. These 960 square foot facilities are insulated and air conditioned.

Funding

Funding for this program may be from any funds available to the State Allocation Board. This may be from the proceeds from the sale of State General Obligation Bonds, lease and sale revenues, or State General Fund.

Note: The State Allocation Board has a Disabled Veteran Business Enterprise policy which is applicable to the Relocatable Classroom Program.

Program Application Forms

- ▶ SAB 25-1, Eligibility Worksheet
- ▶ SAB 25-2, Application to Lease State Relocatable Classroom
- ▶ SAB 25-3, Site Readiness Notification
- ▶ SAB 25-4, Certification for Reimbursement

Deferred Maintenance Program

The State School Deferred Maintenance Program provides State matching funds, on a dollar-for-dollar basis, to assist school districts with expenditures for major repair or replacement of existing school building components. Typically, this includes plumbing, heating, air conditioning, electrical systems, roofing, interior/exterior painting, floor systems, etc. Funds are also provided for critical hardship projects if the work must be completed within one year.

Funding

Funding for this program is generated from the amount of school district repayments under the State School Building Aid program that exceed the amount necessary to service the indebtedness on State General Obligation Bonds sold and loaned to the districts for that program and from certain State School Site Utilization Funds. Additional funds may be appropriated from the State General Fund.

Program Application Forms

- ▶ SAB 40-1, Five Year Plan
- ► SAB 40-5, Critical Hardship Application
- ► SAB 40-7, Certification of Deposits

Office of Public School Construction

The Office of Public School Construction (OPSC) administers the programs for the State Allocation Board.



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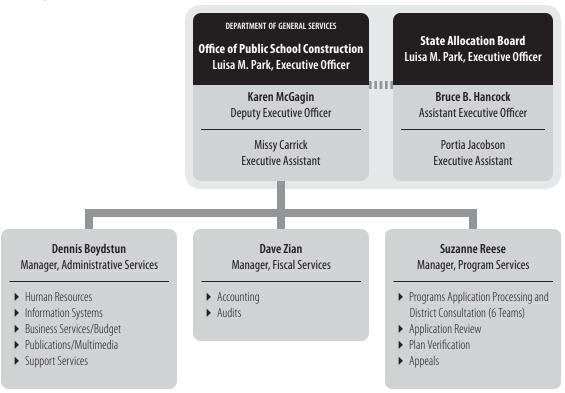


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OPSC Organization Chart



Summary of Bond Allocations 1988–2002 (Amounts are in Millions of Dollars)

	Jun 1988	Nov 1988	Jun 1990	Nov 1990	Jun 1992	Nov 1992	Mar 1996	Nov 1998	Nov 2002	Total
New Construction	\$ 585.0	\$ 682.0	\$ 630.0	\$ 631.0	\$1,403.0	\$ 678.0	\$1,127.8	\$2,900.0	\$ 6,350.0 *	\$14,986.8
Modernization	\$ 136.0	\$ 93.0	\$ 123.0	\$ 105.0	\$ 446.0	\$ 192.0	\$ 705.0	\$2,100.0	\$ 3,300.0	\$ 7,200.0
Hardship	_	_	_	_	_	_	_	\$1,000.0	_	\$ 1,000.0
Class-size Reduction	_	_	_	_	_	_	_	\$ 700.0	_	\$ 700.0
Critically Overcrowded Schools	_	_	_	_	_	_	_	_	\$ 1,700.0	\$ 1,700.0
Joint Use	_	_	_	_	_	_	_	_	\$ 50.0	\$ 50.0
Ed-Tech Counties	_	_	_	_	_	_	\$ 45.0	_	_	\$ 45.0
Air-Conditioning	\$ 26.0	_	\$ 33.0	\$ 44.0	\$ 49.0	\$ 12.0	\$ 26.8	_	_	\$ 190.8
State Relocatables	\$ 53.0	_	\$ 14.0	\$ 20.0	_	_	\$ 28.0	_	_	\$ 115.0
Asbestos	_	\$ 25.0	_	_	\$ 2.0	\$ 7.0	_	_	_	\$ 34.0
Northridge Earthquake	_	_	_	_	_	\$ 11.0	\$ 13.4	_	_	\$ 24.4
60/40	_	_	_	_	_	_	\$ 40.0	_	_	\$ 40.0
Roofs	_	_	_	_	_	_	\$ 30.0	_	_	\$ 30.0
Joint Use (EC Section 17052)	_	_	_	_	_	_	\$ 25.0	_	_	\$ 25.0
Child Care	_	_	_	_	_	_	\$ 5.0	_	_	\$ 5.0
Contingency Reserve	_	_	_	_	_	_	\$ 19.0	_	_	\$ 19.0
Total Bond Funds	\$ 800.0	\$ 800.0	\$ 800.0	\$ 800.0	\$1,900.0	\$900.0	\$2,065.0	\$6,700.0	\$11,400.0	\$26,165.0

^{* \$100} million for charter schools

Summary of Deferred Maintenance Allocations (Amounts are in Millions of Dollars)

	92-93	93-94	94-95	95-96	96-97	97-98	98-99	99-00	00-01	01-02	02-03	Total
Excess Repayments	\$ 53.6	\$ 47.5	\$ 44.6	\$ 40.5	\$ 40.7	\$ 35.0	\$ 29.3	\$ 25.7	\$ 20.7	\$ 18.1	\$ 15.6	\$ 371.3
Other Legislation	_	_	\$ 24.7	\$ 50.0	_	\$ 100.0	\$ 137.6	\$ 143.7	\$ 176.1	\$ 176.3	\$ 208.0	\$1,016.4
Total	\$ 53.6	\$ 47.5	\$ 69.3	\$ 90.5	\$ 40.7	\$ 135.0	\$ 166.9	\$ 169.4	\$ 196.8	\$ 194.4	\$ 223.6	\$1,387.7

Additional Information

For additional information regarding the State School Facility Programs, refer to the following program manuals which are available on the OPSC Web site.

- ► School Facility Program Guidebook
- ▶ Deferred Maintenance Program Handbook
- ▶ State Relocatable Classroom Program Handbook
- ▶ Unused Sites Program Handbook

Also available for additional reading and information:

- Architect's Submittal Guidelines
- ▶ Progress and Expenditure Reporting Guide
- ▶ Cost Reduction Guidelines
- ► Cookbook for Energy Conservation Measures
- Disabled Veteran Business Enterprise (DVBE) Information and Forms Package

2003 SAB Meeting Schedule

State Allocation Board

- Wednesday, January 22
- Wednesday, February 26
- ▶ Wednesday, March 26
- ▶ Wednesday, April 23
- ▶ Wednesday, May 28
- ▶ Wednesday, June 25
- ▶ Wednesday, July 23
- ▶ Wednesday, August 27
- Wednesday, September 24
- ▶ Wednesday, October 22
- ▶ November No meeting scheduled
- ▶ December No meeting scheduled

The SAB meets in different rooms within the State Capitol at 4:00 p.m. when the State Legislature is in session and at 2:00 p.m. when the State Legislature is out on recess. Due to scheduling changes within the Legislature, some of the SAB meetings may be cancelled or changed with short notice. Check the OPSC Web site for latest dates, times and locations.

Implementation Committee

- ▶ Tuesday, January 7
- ▶ Friday, February 7
- ▶ Friday, March 7
- Friday, April 4
- Friday, May 2
- ▶ Friday, June 6
- ▶ Wednesday, July 2
- Friday, August 1
- Friday, September 5
- ▶ Friday, October 3
- ▶ Friday, November 7
- ▶ Friday, December 5

All meeting scheduled this year are to be held in Sacramento. Meeting times will be from 9:30 a.m. to 3:30 p.m. with a one-hour lunch break. Meeting times, dates and locations are subject to change. Check the OPSC Web site for latest dates, times and locations.

Satellite Offices

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